Artin Conjecture for p-adic Galois Representations of Function Fields

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Abstract

For a global function field K of positive characteristic p , we show that Artin's entireness conjecture for L-functions of geometric p -adic Galois representations of K is true in a non-trivial p -adic disk but is false in the full p -adic plane. In particular, we prove the non-rationality^{[1](#page-0-0)} of the geometric unit root L-functions.

1 Introduction

Let \mathbb{F}_q be the finite field of q elements with characteristic p. Let C be a smooth projective geometrically connected curve defined over \mathbb{F}_q with function field K. Let U be a Zariski open dense subset of C with inclusion map $j: U \hookrightarrow C$. Let $G_K =$ $Gal(K^{\text{sep}}/K)$ denote the absolute Galois group of K. For example, we can take $C = \mathbb{P}^1$, $U = \mathbb{P}^1 - \{0, \infty\}$ and $K = \mathbb{F}_q(t)$.

Let $\pi_1^{\text{arith}}(U)$ denote the arithmetic fundamental group of U. That is,

$$
\pi_1^{\text{arith}}(U) = G_K / < I_x >_{x \in |U|},
$$

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where the denominator denotes the closed normal subgroup generated by the inertial subgroups I_x as x runs over the closed points |U| of U. Let D_x denote the decomposition group of G_K at x. One has the following exact sequence

$$
1 \to I_x \to D_x \to \text{Gal}(\bar{k}_x/k_x) \to 1,
$$

where k_x denotes the residue field of K at x. The Galois group $Gal(\bar{k}_x/k_x)$ is topologically generated by the geometric Frobenius element $Frob_x$ which is characterized by the property:

$$
\text{Frob}_x^{-1} : \alpha \to \alpha^{\#k_x}.
$$

Let P_x denote the p-Sylow subgroup of I_x . Then we have the following exact sequence

$$
1 \to P_x \to I_x \to I_x^{\text{tame}} = \prod_{\ell \neq p} \mathbb{Z}_{\ell}(1) \to 1.
$$

Let F_ℓ be a finite extension of \mathbb{Q}_ℓ , where ℓ is a prime number which may or may not equal to p. Let V be a finite dimensional vector space over F_{ℓ} . Let

$$
\rho: G_K \longrightarrow GL(V)
$$

be a continuous ℓ -adic representation of G_K unramified on U. Equivalently,

$$
\rho: \pi_1^{\rm arith}(U) \longrightarrow GL(V)
$$

is a continuous representation of $\pi_1^{\text{arith}}(U)$. The representation ρ is called geometric if it comes from an ℓ -adic cohomology of a smooth proper variety over U. The geometric representations are the most interesting ones in applications.

Given a representation ρ , its L-function is defined by

$$
L(U, \rho, T) = \prod_{x \in |U|} \frac{1}{\det(I - \rho(\text{Frob}_x)T^{\deg(x)}|V)} \in 1 + TR_{\ell}[[T]],
$$

where R_ℓ is the ring of integers in F_ℓ . It is clear that this L-function is trivially ℓ -adic analytic in the open unit disc $|T|_{\ell} < 1$.

We are interested in further analytic properties of this L-function $L(U, \rho, T)$, especially for those representations which come from geometry. More precisely, we want to know

Question 1.1 (Meromorphic continuation). When and where the L-function $L(U, \rho, T)$ is ℓ -adic meromorphic?

Question 1.2 (Artin's conjecture). Assume that ρ has no geometrically trivial component. When and where the L-function $L(U, \rho, T)$ is ℓ -adic entire (no poles or analytic)?

The answer depends very much on whether ℓ equals to p or not. In the easier case $\ell \neq p$, the Grothendieck [\[7\]](#page-8-0) trace formula gives the following complete answer.

Theorem 1.3. Assume that $\ell \neq p$. The L-function $L(U, \rho, T)$ is a rational function in $F_{\ell}(T)$. If ρ has no geometrically trivial component, then $L(U, \rho, T)$ is a polynomial in $F_{\ell}[T]$.

In the case $\ell = p$, the situation is much more subtle. A general conjecture of Katz [\[8\]](#page-8-1) as proved by Emerton-Kisin [\[6\]](#page-8-2) says that the above two questions still have a complete positive answer if we restrict to the closed unit disc. That is, we have

Theorem 1.4. Assume that $\ell = p$. The L-function $L(U, \rho, T)$ is p-adic meromorphic on the closed unit disc $|T|_p \leq 1$. If ρ has no geometrically trivial component, then the L-function $L(U, \rho, T)$ is p-adic analytic (no poles) on the closed unit disc $|T|_p \leq 1$.

The extension of the above results to larger p -adic disc is more subtle. For any given $\epsilon > 0$, there are examples [\[12\]](#page-8-3) showing that the L-function $L(U, \rho, T)$ is not p-adic meromorphic in the disc $|T|_p < 1 + \epsilon$, disproving another conjecture of Katz [\[8\]](#page-8-1). However, if ρ comes from geometry, then Dwork's conjecture [\[5\]](#page-8-4) as proved by the second author $[13][14]$ $[13][14]$ shows the L-function is indeed a good p-adic function:

Theorem 1.5. Assume that $\ell = p$. If ρ comes from geometry, then the L-function $L(U, \rho, T)$ is p-adic meromorphic in the whole p-adic plane $|T|_p < \infty$.

The aim of this paper is to study Artin's entireness conjecture for such L-functions of geometric p-adic representations. Our main result is the following theorem.

Theorem 1.6. Assume that $\ell = p$ and ρ comes from geometry with no geometrically trivial components. Then, there is a positive constant $c(p, \rho)$ such that the L-function $L(U, \rho, T)$ is p-adic analytic (no poles) in the larger disc $|T|_p < 1+c(p, \rho)$. Furthermore, there are geometrically non-trivial rank one geometric p -adic representations ρ such that $L(U, \rho, T)$ is not p-adic analytic (in fact having infinitely many poles) in $|T|_p < \infty$.

The second part of the theorem shows that Artin's conjecture is false in the entire plane $|T|_p < \infty$. It shows that the first part of the theorem is best one can hope for, and Artin's conjecture is true in a larger disk than the closed unit disk for geometric p -adic representations. An interesting further question is how big the constant $c(p, \rho)$ can be. Our proof gives an explicit positive constant depending only on p and some embedding rank of ρ . In the simpler ordinary case with $R_p = \mathbb{Z}_p$, one can take $c(p, \rho) = p - 1$ which is independent of ρ .

2 ℓ -adic case: $\ell \neq p$

Since $\ell \neq p$, the restriction of the ℓ -adic representation ρ to P_x is of finite order and thus the representation ρ is almost tame. In fact, by class field theory, ρ itself has finite order up to a twist if ρ has rank one. Thus, there are not too many such ℓ -adic representations. The L-function $L(U, \rho, T)$ is always a rational function. This follows from Grothendieck's trace formula [\[7\]](#page-8-0):

Theorem 2.1. Let \mathcal{F}_{ρ} denote the lisse l-adic sheaf on U associated with ρ . Then, there are finite dimensional vector spaces $H_c^i(U\otimes \bar{\mathbb{F}}_q, \mathcal{F}_\rho)$ $(i = 0, 1, 2)$ over F_ℓ such that

$$
L(U,\rho,T) = \prod_{i=0}^{2} \det(I - \text{Frob}_{q}T | H_c^i(U \otimes \overline{\mathbb{F}}_q, \mathcal{F}_{\rho}))^{(-1)^{i-1}} \in F_{\ell}(T).
$$

If U is affine, then $H_c^0 = 0$. If ρ does not contain a geometrically trivial component, then $H_c^2 = 0$. Thus, in most cases, it is H_c^1 that is the most interesting.

Corollary 2.2. Let U be affine. Assume that ρ does not contain a geometrically trivial component. Then, the L-function

$$
L(U,\rho,T)=\det(I-\mathrm{Frob}_qT|H^1_c(U\otimes \bar{\mathbb{F}}_q,\mathcal{F}_{\rho}))
$$

is a polynomial.

This is the ℓ -adic function field analogue of Artin's entireness conjecture.

Fix an embedding $\iota : \overline{\mathbb{Q}}_{\ell} \hookrightarrow \mathbb{C}$. A representation ρ is called ι -pure of weight $w \in \mathbb{R}$ if each eigenvalue of Frob_x acting on V has absolute value $q^{\deg(x)w/2}$ for all $x \in |U|$. A representation ρ is called ι -mixed of weights at most w if each irreducible subquotient of ρ is ι -pure of weights at most w. If ρ is ι -pure of weight w for every embedding ι, then ρ is called pure of weight w. Similarly, if ρ is *ι*-mixed of weights at most w for every ι , then ρ is called mixed of weights at most w. The fundamental theorem of Deligne [\[3\]](#page-8-6) on the Weil conjectures implies

Theorem 2.3. If ρ is geometric, then ρ is mixed with integral weights. Furthermore, if ρ is mixed of weights at most w, then $H_c^i(U\otimes \bar{\mathbb{F}}_q, \mathcal{F}_\rho)$ is mixed of weights at most $w + i$.

The ℓ -adic function field Langlands conjecture for $GL(n)$, which was established by Lafforgue [\[10\]](#page-8-7), implies

Theorem 2.4. If ρ is irreducible, then ρ is geometric up to a twist and hence pure of some weight.

Thus, in the ℓ -adic case with $\ell \neq p$, essentially all ℓ -adic representations are geometric from the viewpoint of L-functions.

3 p-adic case

In the case $\ell = p$, the restriction of the p-adic representation ρ to P_x can be infinite and thus ρ can be very wildly ramified. The L-function $L(U, \rho, T)$ is naturally more complicated and cannot be rational in general. One can ask for its p-adic meromorphic continuation. The function $L(U, \rho, T)$ is trivially p-adic analytic in the open unit disc $|T|_p < 1$ as the coefficients are in the ring R_p . It was shown in [\[12\]](#page-8-3) that $L(U, \rho, T)$ is not p -adic meromorphic in general, disproving a conjecture of Katz $[8]$. However, one can show that $L(U, \rho, T)$ is p-adic meromorphic on the closed unit disc $|T|_p \leq 1$. Its zeros and poles on the closed unit disc are controlled by p -adic étale cohomology of ρ . This was proved by Emerton-Kisin [\[6\]](#page-8-2), confirming a conjecture of Katz [\[8\]](#page-8-1). That is,

Theorem 3.1. For any p-adic representation ρ of $\pi_1^{\text{arith}}(U)$, the quotient

$$
\frac{L(U,\rho,T)}{\prod_{i=0}^2 \det(I - \text{Frob}_q T | H_c^i(U \otimes \overline{\mathbb{F}}_q, \mathcal{F}_\rho))^{(-1)^{i-1}}}
$$

has no zeros and poles on the closed unit disc $|T|_p \leq 1$.

In the case that ρ has rank one, this was first proved by Crew [\[2\]](#page-8-8). Note that $H_c^2(U \otimes \bar{\mathbb{F}}_q, \mathcal{F}_\rho) = 0$ since U is a curve and $\ell = p$. If U is affine, then $H_c^0(U \otimes \bar{\mathbb{F}}_q, \mathcal{F}_\rho) = 0$. This gives

Corollary 3.2. Let U be affine. Then, the L-function $L(U, \rho, T)$ is p-adic analytic on the closed unit disc $|T|_p \leq 1$.

The (compatible) p-adic analogue of a lisse ℓ -adic sheaf (or ℓ -adic representation) on U for $\ell \neq p$ is an overconvergent F-isocrystal over U, which is not a p-adic representation. Its pure slope parts, under the Newton-Hodge decomposition, are p -adic representations up to twists (unit root F-isocrystals, no longer overconvergent in general). P-adic representations arising in this way are also called geometric, as they are a natural generalization of the geometric representations we defined before. For geometric p-adic representations, the following meromorphic continuation was conjectured by Dwork [\[5\]](#page-8-4) and proved by the second author [\[13\]](#page-8-5) [\[14\]](#page-9-0).

Theorem 3.3. If the p-adic representation ρ is geometric, then the L-function $L(U, \rho, T)$ is p-adic meromorphic everywhere.

Remark 3.4. It would be interesting to know if a sub-quotient of a geometric p-adic representation remains geometric in terms of our general definition.

Unlike the ℓ -adic case, most p-adic representations are not geometric. It seems very difficult to classify geometric p-adic representations, even in the rank one case. This may be viewed as the *p*-adic Langlands program for function fields of characteristic p , which is still wide open, even in the rank one case.

Our first new result of this paper is to show that the Artin entireness conjecture fails for L-functions $L(U, \rho, T)$ of geometric p-adic representations, even for non-trivial rank one ρ .

Theorem 3.5. There are geometrically non-trivial rank one geometric p-adic representations ρ on certain affine curves U over \mathbb{F}_p such that the L-function $L(U, \rho, T)$ is p-adic meromorphic on $|T|_p < \infty$, but having infinitely many poles.

Proof. Let $p > 2$ be an odd prime and $N > 4$ be a positive integer prime to p. Let Y be the component of ordinary non-cuspidal locus of the modulo p reduction of the compactified modular curve $X_1(N_p)$. This is an affine curve over the finite field \mathbb{F}_p . Let $E_1(Np)$ be the universal elliptic curve over Y. Its relative p-adic étale cohomology is a rank one geometric *p*-adic representation ρ of $\pi_1^{\text{arith}}(Y)$. For a non-zero integer k, the k-th tensor power $\rho^{\otimes k}$ is again a geometric p-adic representation of $\pi_1^{\text{arith}}(Y)$. The Monsky trace formula gives the following relation

$$
L(Y, \rho^{\otimes k}, T) = \frac{D(k+2, T)}{D(k, pT)},\tag{1}
$$

where $D(k, T)$ is the characteristic power series of the U_p -operator acting on the space of overconvergent p-adic cusp forms of weight k and tame level N. The series $D(k, T)$ is a p-adic entire function. Equation [\(1\)](#page-4-0) implies that the L-function $L(Y, \rho^{\otimes k}, T)$ is

p-adic meromorphic in T, which was first proved by Dwork in [\[4\]](#page-8-9) via Monsky's trace formula, see also [\[9\]](#page-8-10) and [\[1\]](#page-8-11).

We want to show that the L-function $L(Y, \rho^{\otimes k}, T)$ is not p-adic entire for infinitely many integers k. For this purpose, we need to describe the coefficients of the L-function in more detail, following Coleman [\[1,](#page-8-11) Appendix I].

For an order $\mathcal O$ in a number field, let $h(\mathcal O)$ denote the class number of $\mathcal O$. If γ is an algebraic integer, let O_{γ} be the set of orders in $\mathbb{Q}(\gamma)$ containing γ . For a positive integer m, let $W_{p,m}$ denote the finite set of p-adic units $\gamma \in \mathbb{Q}_p$ such that $\mathbb{Q}(\gamma)$ is an imaginary quadratic field, and

$$
\operatorname{Norm}_{\mathbb{Q}}^{\mathbb{Q}(\gamma)}(\gamma) = p^m.
$$

By Coleman [\[1,](#page-8-11) Theorem I1], for all integers k , we have

$$
D(k,T) = \exp\left(\sum_{m=1}^{\infty} A_m(k)\frac{T^m}{m}\right),
$$

where

$$
A_m(k) = \sum_{\gamma \in W_{p,m}} \sum_{\mathcal{O} \in O_{\gamma}} h(\mathcal{O}) B_N(\mathcal{O}, \gamma) \frac{\gamma^k}{\gamma^2 - p^m},
$$

and $B_N(\mathcal{O}, \gamma)$ is the number of elements of $\mathcal{O}/N\mathcal{O}$ of order N fixed under multiplication by p^m/γ . This is really another form of the Monsky trace formula. It follows that

$$
L(Y, \rho^{\otimes k}, T) = \exp\left(\sum_{m=1}^{\infty} C_m(k)\frac{T^m}{m}\right),
$$

where

$$
C_m(k) = A_m(k+2) - A_m(k)p^m = \sum_{\gamma \in W_{p,m}} \sum_{\mathcal{O} \in O_{\gamma}} h(\mathcal{O})B_N(\mathcal{O}, \gamma)\gamma^k.
$$

It is clear that $C_m(k)$ is an algebraic number in \mathbb{Q}_p . We need the following key property.

Lemma 3.6. If 6|k, then the field generated by all the algebraic numbers $C_m(k)$ in \mathbb{Q}_p is equal to the compositum of all imaginary quadratic fields in \mathbb{Q}_p in which p splits. In particular, this field is an infinite algebraic extension of $\mathbb Q$ in $\mathbb Q_p$.

Proof. Since γ is a p-adic unit and $\text{Norm}_{\mathbb{Q}}^{\mathbb{Q}(\gamma)}(\gamma) = p^m$, we see that p splits in $\mathbb{Q}(\gamma)$. Thus $C_m(k)$ is contained in the compositum of all imaginary quadratic fields in \mathbb{Q}_p in which p splits. Conversely, let K be any imaginary quadratic field in \mathbb{Q}_p in which p splits. Write $p\mathcal{O}_K = \mathfrak{p}\bar{\mathfrak{p}}$. Without loss of generality, we may suppose $\bar{\mathfrak{p}} = p\mathbb{Z}_p \cap \mathcal{O}_K$. For $m = h(\mathcal{O}_K)$, $\mathfrak{p}^m = (\gamma)$ is a principal ideal. Thus $\bar{\mathfrak{p}}^m = (\bar{\gamma})$. It follows that Norm $_0^{\mathbb{Q}(\gamma)}(\gamma) = \gamma \bar{\gamma} = p^m$. By replacing γ with γ^n and m with mn for some suitable positive integer n, we may further suppose that $\bar{\gamma} \equiv 1 \mod N\mathcal{O}_K$. In particular, we have $B_N(\mathcal{O}_K, \gamma) > 0$. Now for any $\gamma' \in K \cap W_{p,m}$, since $\text{Norm}_{\mathbb{O}}^K(\gamma') = \text{Norm}_{\mathbb{O}}^K(\gamma) = p^m$, we may write $\gamma' = u\gamma$ for some $u \in \mathcal{O}_K^{\times}$. Since K is imaginary quadratic, it is wellknown that $|{\mathcal{O}}_K^{\times}|$ divides 6. Thus $\gamma^k = \gamma^k$, yielding

$$
C_m(k) = \left(\sum_{\gamma' \in K \cap W_{p,m}} \sum_{\mathcal{O} \in O_{\gamma'}} h(\mathcal{O}) B_N(\mathcal{O}, \gamma')\right) \gamma^k + \alpha
$$

where α is a sum of elements contained in quadratic fields different from K. We therefore deduce that $K = \mathbb{Q}(\gamma^k)$ is contained in the field generated by $C_m(k)$. This yields the lemma.

We now return to the proof of the theorem. Let $k \geq 2$ be a positive integer divided by 6. Let F denote the relative rigid cohomology of $E_1(Np)$ over Y, which is an ordinary overconvergent F -isocrystal over Y of rank two, sef-dual and pure of weight 1. The rank one p-adic representation ρ is precisely the unit root part of F. It follows that the L-function of the k-th Adams operation of $\mathcal F$ is

$$
L(Y, \rho^{\otimes k}, T) L(Y, \rho^{\otimes (-k)}, p^k T) = \frac{L(Y, \text{Sym}^k \mathcal{F}, T)}{L(Y, \text{Sym}^{k-2} \mathcal{F}, pT)}.
$$

The right side is a rational function with integer coefficients. If both $L(Y, \rho^{\otimes k}, T)$ and $L(Y, \rho^{\otimes(-k)}, T)$ had a finite number of poles, then the above left side would be a p-adic meromorphic function with a finite number of poles, and it is at the same time a rational function. It would then follow that both $L(Y, \rho^{\otimes k}, T)$ and $L(Y, \rho^{\otimes (-k)}, T)$ would be rational functions. This implies that the coefficients of $L(Y, \rho^{\otimes k}, T)$ and $L(Y, \rho^{\otimes (-k)}, T)$ generate a finite algebraic extension of $\mathbb Q$ in $\mathbb Q_p$, contradicting to the lemma. We conclude that at least one of the two functions $L(Y, \rho^{\otimes k}, T)$ and $L(Y, \rho^{\otimes (-k)}, T)$ has infinitely many poles. The theorem is proved.

Remark 3.7. For any positive integer $k \geq 2$, we believe that both functions $L(Y, \rho^{\otimes k}, T)$ and $L(Y, \rho^{\otimes (-k)}, T)$ have infinitely many poles. But we do not know how to prove it.

Our second result of this paper is to show that for a geometric p -adic representation ρ on a smooth affine curve U over \mathbb{F}_p , the L-function $L(U, \rho, T)$ is p-adic analytic (no poles) in the larger disc $|T|_p < 1 + c(p, \rho)$ for some positive constant $c(p, \rho)$. In fact, we shall prove a more general theorem in the context of σ -modules as in [\[13\]](#page-8-5)[\[14\]](#page-9-0). For simplicity of notation, we use $L(\rho,T)$ to denote $L(U,\rho,T)$.

Theorem 3.8. Let U be a smooth affine curve over \mathbb{F}_p . Let ρ be a unit root σ -module which arises as a pure slope part of an overconvergent σ -module on U. Then, there is a positive constant $c(p, \rho)$ such that the L-function $L(\rho, T)$ is p-adic analytic (no poles) in the larger disc $|T|_p < 1 + c(p, \rho)$.

Proof. Let ϕ be an overconvergent σ -module on U with coefficients in R_p with uniformizer π . Since ϕ is overconvergent, Corollary 3.2 in [\[13\]](#page-8-5) shows that its L-function $L(\phi, T)$ is p-adic meromorphic everywhere. As U is a smooth affine curve, Corollary 3.3 in [\[13\]](#page-8-5) further shows that $L(\phi, T)$ is p-adic analytic in the disk $|T|_p < |\pi^{-1}|_p$. Note that $|\pi^{-1}|_p$ is a constant greater than 1. For example, in the case $\pi = p$, we have $|\pi^{-1}|_p = p.$

We first assume that ϕ is ordinary. For an integer $i \geq 0$, let ϕ_i denote the unit root σ-module on U coming from the slope *i*-part in the Hodge-Newton decomposition of $φ$. It is no longer overconvergent in general. We need to show that the unit root σ -module L-function $L(\phi_i, T)$ is p-adic analytic in the disk $|T|_p < |\pi^{-1}|_p$. By the definition of ϕ_i and our ordinariness assumption, we have the decomposition

$$
L(\phi, T) = \prod_{i \ge 0} L(\phi_i, \pi^i T) = L(\phi_0, T) \prod_{i \ge 1} L(\phi_i, \pi^i T).
$$

As mentioned above, the left side is *p*-adic analytic in the disk $|T|_p < |\pi^{-1}|_p$. For each $i \geq 1$, the right side factor $L(\phi_i, \pi^i T)$ is trivially p-adic analytic with no zeros and poles in the disk $|T|_p < |\pi^{-1}|_p$. We deduce that the first right side factor $L(\phi_0, T)$ is also p-adic analytic in the disk $|T|_p < |\pi^{-1}|_p$. This proves the theorem in the case $i = 0$.

For $i > 0$, we need to use the proof of Dwork's conjecture in [\[13\]](#page-8-5)[\[14\]](#page-9-0). Let $\psi = \phi_i$. We need to prove that $L(\psi, T)$ is p-adic analytic in the disk $|T|_p < |\pi^{-1}|_p$. Let r_i denote the rank of ϕ_i . Define

$$
\tau = \wedge^{r_0} \phi_0 \otimes \wedge^{r_1} \phi_1 \otimes \cdots \otimes \wedge^{r_{i-1}} \phi_{i-1}.
$$

This is a rank one unit root σ -module on U, not overconvergent in general. Define

$$
\varphi = \pi^{-r_1 - \dots - (i-1)r_{i-1} - i} \wedge^{r_0 + \dots + r_{i-1} + 1} \phi.
$$

Since ϕ is ordinary and overconvergent, it follows that φ is also ordinary and overconvergent. For an integer $j \geq 0$, let φ_j denote the unit root σ -module on U coming from the slope j-part in the Hodge-Newton decomposition of φ . Then, it is easy to check that we have the following decomposition (see equation (5.1) in [\[13\]](#page-8-5)).

$$
L(\varphi \otimes \tau^{-1}, T) = L(\psi, T) \prod_{j \geq 1} L(\varphi_j \otimes \tau^{-1}, \pi^j T).
$$

For each $j \geq 1$, the factor $L(\varphi_j \otimes \tau^{-1}, \pi^j T)$ is trivially p-adic analytic with no zeros and poles in the disk $|T|_p < |\pi^{-1}|_p$. To prove that $L(\psi, T)$ is also p-adic analytic in the disk $|T|_p < |\pi^{-1}|_p$, it suffices to prove that the left side factor $L(\varphi \otimes \tau^{-1}, T)$ is p-adic analytic in the disk $|T|_p < |\pi^{-1}|_p$.

Now, the rank one unit root σ -module τ is the slope zero part of the following ordinary and overconvergent σ -module

$$
\Phi = \pi^{-r_1 - \dots - (i-1)r_{i-1}} \wedge^{r_0 + \dots + r_{i-1}} \phi.
$$

By Theorem 7.8 in [\[14\]](#page-9-0), we deduce that there is a sequence of nuclear overconvergent σ-modules Φ∞,−^k (k ≥ 2) such that

$$
L(\varphi \otimes \tau^{-1}, T) = \prod_{k \geq 1} L(\varphi \otimes \Phi_{\infty, -1-k} \otimes \wedge^k \Phi, T)^{(-1)^{k-1}k}.
$$

Since Φ is ordinary and its slope zero part has rank one, $\wedge^k \Phi$ is divisible by π^{k-1} . It follows that for $k \geq 2$, the L-function $L(\varphi \otimes \Phi_{\infty,-1-k} \otimes \wedge^k \Phi, T)$ is trivially p-adic analytic with no zeros and poles in the disk $|T|_p < |\pi^{-1}|_p$. For the remaining case $k = 1$, we apply the nuclear trace formula (Theorem 5.8 in [\[14\]](#page-9-0)) and deduce that $L(\varphi \otimes \Phi_{\infty,-2} \otimes \Phi, T)$ is p-adic analytic in the disk $|T|_p < |\pi^{-1}|_p$. The theorem is proved in the ordinary case.

In the general non-ordinary case, by a similar argument, we may apply the methods in [\[13\]](#page-8-5)[\[14\]](#page-9-0) for non-ordinary case to give an explicit positive constant $c(p, \rho)$ depending on π and the rank of ϕ such that $L(\rho, T)$ is p-adic analytic in the disk $|T|_p < 1 + c(p, \rho)$. The constant $c(p, \rho)$ depends very badly on the rank of ϕ , and so we would not bother to write it down explicitly. \Box

The above theorem has a higher dimensional generalization. We state this generalization below.

Remark 3.9. Let U be a smooth affine variety of equi-dimension n over \mathbb{F}_q . Let ρ be a unit root σ -module on U. Then, the L-function $L(\rho,T)^{(-1)^{n-1}}$ is p-adic analytic on the closed unit disk $|T|_p \leq 1$. If ρ arises as a pure slope part of an overconvergent σ -module on U. Then, there is a positive constant $c(p, \rho)$ such that the L-function $L(\rho, T)^{(-1)^{n-1}}$ is p-adic analytic (no poles) in the larger disc $|T|_p < 1 + c(p, \rho)$.

The first part follows from Emerton-Kisin's theorem on the Katz conjecture and standard properties of p -adic étale cohomology. The proof of the second part is the same as the above theorem, and use the results in $[13][14]$ $[13][14]$. We expect that both parts remains true if U is an equi-dimensional complete intersection (possibly singular) in a smooth affine variety X over \mathbb{F}_q . This possible generalization is motivated by the characteristic p entireness result in [\[11\]](#page-8-12).

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